



Attorney Docket No. 5308-310

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gerald H. Negley et al.

Application Serial No.: 10/659,240

Filed: September 9, 2003

For: TRANSMISSIVE OPTICAL ELEMENTS INCLUDING TRANSPARENT PLASTIC SHELL HAVING A PHOSPHOR DISPERSED THEREIN, AND METHODS OF FABRICATING SAME

November 11, 2003

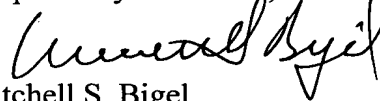
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)**

Sir:

Attached is a form PTO-1449, together with a copy of each of the identified document(s). It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.


Respectfully submitted,

  
Mitchell S. Bigel  
Registration No. 29,614

Myers Bigel Sibley & Sajovec, P.A.  
P. O. Box 37428  
Raleigh, North Carolina 27627  
Telephone: (919) 854-1400  
Facsimile: (919) 854-1401  
Customer No. 20792

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Susan E. Freedman  
Date of Signature: November 11, 2003

**Complete if Known**

3) Application Number	10/659,240
4) Filing Date	09/09/2003
5) First Named Inventor	Gerald H. Negley
Group Art Unit	
Examiner Name	
Attorney Docket Number	5308-310

Sheet	1	of	2	Attorney Docket Number	5308-310
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[illegible]

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/659,240
Filing Date	09/09/2003
First Named Inventor	Gerald H. Negley
Group Art Unit	
Examiner Name	
Attorney Docket Number	5308-310

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			
	40	JP	2003-17755	A	Nichia Chem Ind Ltd.	01/17/2003	
	41	JP	2002-280616	A	Nichia Chem Ind Ltd.	09/27/2002	
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**OTHER NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	64	Craford, <i>Overview of Device Issues in High-Brightness Light-Emitting Diodes</i> , Chapter, <i>High Brightness Light Emitting Diodes: Semiconductors and Semimetals</i> , Vol. 48, Stringfellow et al. ed., Academic Press, 1997, pp. 47-63	
	65	Loh, <i>Power Surface Mount Light Emitting Die Package</i> , USSN 10/446,532, 05/27/2003	
	66	Slater, Jr. et al., <i>Phosphor-Coated Light Emitting Diodes Including Tapered Sidewalls and Fabrication Methods Therefor</i> , USSN 60/411,980, 09/19/2002	

Examiner Signature

Date Considered

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